

# FCA Authorisations

IQ-EQ can help your firm in becoming independently authorised with the FCA by assisting with the preparation and submission of the application. If your firm is already authorised and wants to change or add to its regulated activities, we can assist you with filing for a Variation of Permission (VoP).

## How we can help

We adopt a pragmatic, methodical and efficient approach to the FCA authorisation process by investing time in getting to know you and fully understanding your business, which enables the application process to progress quickly and efficiently.

Our in-depth understanding of the UK regulatory requirements, including expertise in both MiFID and AIFMD, allows us to tailor each application to your specific circumstances.

## Time frame for FCA authorisations

The preparation of the application can take a few weeks depending on how promptly we receive the necessary information from you. Please note that FCA registration is typically granted within 6-9 months from the date that the application is submitted. If you are looking to get to market quicker than that time frame, we can assist with hosting you under our regulatory umbrella in the interim.

<b>Week 1: Preliminary meeting</b>	<ul style="list-style-type: none"> <li>Understand your business and objectives</li> <li>Gathering of information for application</li> </ul>
<b>Weeks 2-3: Preparation</b>	<ul style="list-style-type: none"> <li>Full-time line established</li> <li>Core documents created, such as business plan, compliance arrangements, financial projections, personnel and fitness documentation</li> </ul>
<b>Week 4: Finalisation</b>	<ul style="list-style-type: none"> <li>Client to review and sign off authorization documentation</li> <li>Application filed with the regulator</li> </ul>
<b>Weeks 5-20: Regulator review</b>	<ul style="list-style-type: none"> <li>We will liaise with the regulator on your behalf</li> <li>We will advise the client on any regulator questions or concerns</li> </ul>
<b>Approval</b>	<ul style="list-style-type: none"> <li>Regulatory authorisation granted</li> <li>Launch of business's regulated activities</li> </ul>

## Why IQ-EQ

- Experience: With decades of experience in FCA authorisations, including staff members who worked for the regulator
- Contact and speed: We deal directly with the regulator, ensuring that the application process is completed professionally and efficiently in a timely manner
- Project management: Our consultants know the process inside out so they can ensure the whole process is as efficient as possible

## Ongoing compliance assistance

Following a firm's successful FCA authorisation, IQ-EQ can assist with ongoing FCA compliance support to ensure UK regulatory compliance as an independent firm. We offer a bespoke compliance service that is tailored to your ongoing requirements, which can include the following:

- Regulatory reporting
- Compliance infrastructure
- A compliance manual
- Compliance monitoring programmes
- Anti-money laundering procedures

## Variations of permissions

IQ-EQ can also assist firms that are already regulated by the FCA and looking to adjust their regulatory permissions to align with new or changing business activities. For example, a firm that qualifies as a sub-threshold AIFM will need to file a Variation of Permission (VoP) form with the regulator in order to become authorised as full-scope. As part of the application process, we will assist clients with the preparation and implementation of AIFMD-compliance policies for the following functions:

- Valuation
- Liquidity management
- Risk management
- Remuneration

## Key contacts



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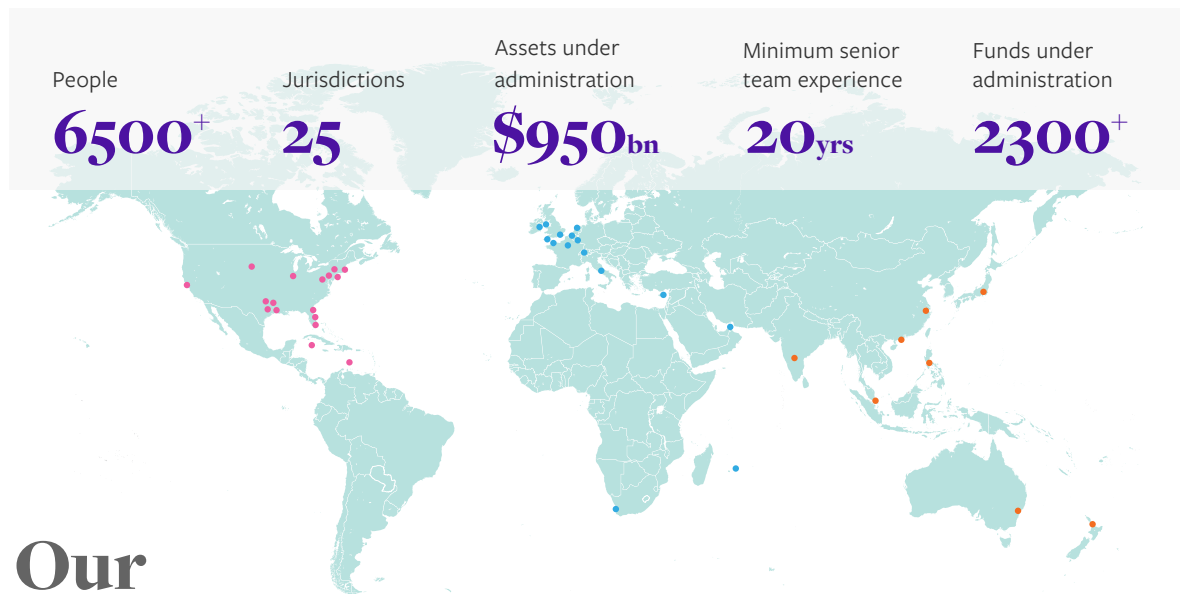
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## Key facts and figures\*



## Our locations

We know that corporate services need to be truly international to keep up with constantly shifting business environments and regulatory change. It's why we have offices in 24 jurisdictions, across five continents. And we're still growing.

### THE AMERICAS

Cayman Islands  
Curaçao  
U.S.  
(Austin TX, Bedford NH, Boston MA, Chicago IL, Dallas TX, Fort Worth TX, Frisco TX,

Garden City NY, Houston TX, Iselin NJ, Miami FL, New York NY, Rapid City SD, San Francisco CA and West Palm Beach FL)

### EMEA

Belgium  
Cyprus  
France  
Guernsey  
Ireland  
Isle of Man  
Italy  
Jersey

Luxembourg  
Mauritius  
The Netherlands  
South Africa  
Switzerland  
The Middle East  
United Kingdom

### APAC

Australia  
Hong Kong  
India  
Japan  
Mainland China  
New Zealand  
The Philippines  
Singapore

\*Information correct as of June 2026

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