

Our comprehensive technology solution for managing and monitoring your financial firm's compliance activities.

Introduction

With its intuitive technology and easy-to-use reporting functions, gVue from IQ EQ® offers you an easier path to compliance that ensures you operate within regulatory requirements, as well as company policies.

With this platform, you have the ability to oversee:

- Employees' personal securities trading in publicly traded equities and bonds, private investments, cryptocurrencies and more
- Political contributions
- Outside business activities
- Gifts and entertainment reporting

Features

Pre-clearance

gVue's customizable requests module allows users to seek approval for trades, political contributions, outside business activities and more. Firm policies are built into gVue, automating the review process.

Certifications and policies

gVue's robust certification builder automates timely reporting of political contributions, gifts and entertainment, and outside business activities. gVue's document repository makes all compliance documents accessible to users.

Dashboard

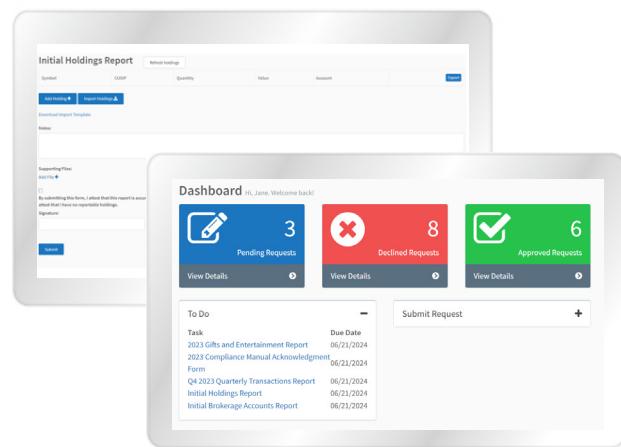
gVue's centralised dashboard concisely displays all outstanding tasks, pending requests and brokerage accounts. gVue's user-friendly interface makes it easy for users to meet their compliance obligations.

Personal trading and deal monitoring

gVue seamlessly links to more than 4,000 brokerages, allowing users to connect their brokerage accounts in seconds. Personal trading data is downloaded on a nightly basis, automating the review process.

Business rules and reporting

gVue's powerful reporting feature allows you to quickly generate compliance review reports and trading summaries for employees to ensure a culture of compliance within your organization.



	gVue	Paper
Privacy	No cash balances, addresses or full account numbers in view	Brokerage statements contain sensitive data
Automation	Schedules automated reminders and generates tasks	No reminders; need to create forms quarterly
Reporting	Easily produces exports in the event of an SEC audit	Collecting paper delays the audit process
Efficiency	Eliminates the need for paper statements with brokerage links. Users submit reports and gVue automatically aggregates all OBAs, gifts, etc. for review	Uploading brokerage statements and verifying disclosures is time consuming

About us*

We are IQ-EQ, a leading investor services group employing over 6,500+ people across 24 jurisdictions worldwide. We bring together that rare combination of global expertise with a deep understanding of the needs of our clients. We have the know how and the know you to support fund managers, global companies, family offices and private clients.

Key contacts

To find out about gVue or to book a demo, please contact JP Gonzalez or Melsa McGarey.



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Key facts and figures*

People	Jurisdictions	Assets under administration	Minimum senior team experience	Funds under administration
6500⁺	24	\$857bn	20yrs	800⁺

Our locations worldwide

APAC

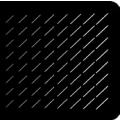
- Australia
- Hong Kong
- India
- Japan
- Mainland China
- New Zealand
- The Philippines
- Singapore

AMERICAS

- Cayman Islands
- Curaçao
- United States

EMEA

- Belgium
- Cyprus
- France
- Guernsey
- Ireland
- Isle of Man
- Jersey
- Luxembourg
- Mauritius
- The Netherlands
- South Africa
- Switzerland
- United Arab Emirates
- United Kingdom



*Information correct as of January 2026

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