

Regulatory Compliance

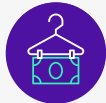
IQEQ

As part of IQ-EQ, Agama specialises in regulatory compliance. Acting as second or third line of defence, or as an external advisor, we partner with you to develop and maintain a strong internal control framework tailored to your specific needs and objectives. We provide essential regulatory compliance, internal control, and operations support to asset managers, asset servicers and funds across both liquid and alternative asset classes.

Our team of specialist consultants assists asset management and financial sector players with regulatory and operational aspects, considering both client development objectives and regulator expectations in the French and Luxembourg markets.

We've developed BELT Solutions, a RegTech SaaS solution supporting compliance officers within asset managers. BELT Solutions provides access to regulatory references, control sheets, reports and updated systems. Users can map, assess, and control compliance risks. BELT Solutions clients include tier-one European asset managers, leaders in Private Equity and prominent players in the Real Estate sector.

Our services include:



MLRO ("RC") mandates or assistance



AML/CFT due diligence



Comprehensive support in compliance and internal control



Management and oversight of strategic projects



Outsourced internal audit



Compliance audits



Regulatory compliance services



Implementation of procedures, mappings and registers



Training (AML/CFT, market abuse, etc.)



Board mandates

Key facts and figures*

People worldwide	Worldwide locations	Assets under administration	Minimum senior team experience	Funds under administration
5900⁺	25	\$857⁺ bn	20yrs	800⁺

Key contacts



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*Information correct as of August 2025

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Reference: IH9458_August2025_02
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