

# gVue

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## Introduction

gVue is a comprehensive technology solution for managing and monitoring your financial firm's compliance activities.

With this platform, you have the ability to oversee:

- Employees' personal securities trading in publicly traded equities and bonds, private investments, cryptocurrencies and more
- Political contributions
- Outside business activities
- Gifts and entertainment reporting
- Features
- Pre-clearance

## Features

gVue's customizable requests module allows users to seek approval for trades, political contributions, outside business activities and more. Firm policies are built into gVue, automating the review process.

### **Certifications and policies**

gVue's robust certification builder automates timely reporting of political contributions, gifts and entertainment, and outside business activities. gVue's document repository makes all compliance documents accessible to users.

### **Dashboard**

gVue's centralized dashboard concisely displays all outstanding tasks, pending requests and brokerage accounts. gVue's user-friendly interface makes it easy for users to meet their compliance obligations.

### **Personalized trading and deal monitoring**

gVue seamlessly links to more than 4,000 brokerages, allowing users to connect their brokerage accounts in seconds. Personal trading data is downloaded on a nightly basis, automating the review process.

### **Business rules and reporting**

gVue's powerful reporting feature allows you to quickly generate compliance review reports and trading summaries for employees to ensure a culture of compliance within your organization.

## gVue vs Paper

	gVue	Paper
<b>Privacy</b>	No cash balances, addresses or full account numbers in view	Brokerage statements contain sensitive data
<b>Automation</b>	Schedules automated reminders and generates tasks	No reminders; need to create forms quarterly
<b>Reporting</b>	Easily produces exports in the event of an SEC audit	Collecting paper delays the audit process
<b>Efficiency</b>	Eliminates the need for paper statements with brokerage links. Users submit reports and gVue automatically aggregates all OBAs, gifts, etc. for review	Uploading brokerage statements and verifying disclosures is time consuming

**With its intuitive technology and easy-to-use reporting functions, gVue offers you an easier path to compliance that ensures you operate within regulatory requirements, as well as company policies.**

## Key facts and figures\*

People worldwide	Worldwide location	Assets under administration	Minimum senior team experience	Funds under administration
<b>5800<sup>+</sup></b>	<b>25</b>	<b>\$750<sup>+</sup> bn</b>	<b>20yrs</b>	<b>800<sup>+</sup></b>

## Key contacts in United States



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## Our locations worldwide

### THE AMERICAS

Bermuda  
 British Virgin Islands  
 Cayman Islands  
 Curaçao  
 USA (Austin, Bedford NH, Chicago, Dallas, Fort Worth, Garden City NY, Houston, Iselin NJ, Miami, New York City, Rapid City SD, San Francisco and West Palm Beach)

### MIDDLE EAST

United Arab Emirates

### EUROPE

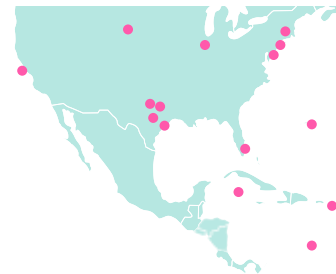
Belgium  
 Cyprus  
 France  
 Guernsey  
 Ireland  
 Isle of Man  
 Jersey  
 Luxembourg  
 Switzerland  
 The Netherlands  
 UK

### AFRICA

Mauritius  
 South Africa

### ASIA

Mainland China  
 Hong Kong  
 India  
 Japan  
 Philippines  
 Singapore



\*Information correct as of 05 August 2024

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