

**SKYLINE UMBRELLA FUND ICAV**  
**Account Opening Form ARGA Global Equity Fund**

This Account Opening Form, which acts as an account opening form, should be read in context of and together with the latest Prospectus & Supplement(s) of the ICAV (collectively referred to as the "Prospectus"), and save where otherwise defined in this Account Opening Form, all capitalised terms shall have the same meaning as in the Prospectus. Please complete all the following sections as indicated.

Please note that separate forms are required for subscriptions and redemptions of Shares. These forms are contained at Appendices I and II.

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**IMPORTANT:** You will need to contact the Distributor or the Administrator before completing this form if you are an Irish resident who is tax-exempt. You will need to request the specific declaration form.

This Account Opening Form constitutes your agreement to subscribe for Shares in the ARGA Global Equity Fund (the "Sub-Fund"). Once fully completed and signed, this Account Opening Form and full identity verification documentation should be sent to the following address and/or facsimile number, and **if sent by facsimile the original Account Opening Form and supporting documentation must follow by post.**

Once the signed Account Opening Form and complete identity verification documentation is received and verified, the Administrator will send you an account number that is unique to you, this account number should then be included on the subscription form (see Appendix I for dealing information). The account number must be specified on all subscription forms in order for the deal to be executed. Subscription (dealing) instructions and associated proceeds must not be forwarded until the account number is issued to you by the Administrator. Please note this may take up to 4 Business Days.

**Any deal instructions will be rejected until the Account Opening Form and supporting identity verification documentation has been processed and verified by the Administrator.**

**The Subscription Form must be received by the Administrator by 3:00pm (Irish time) on the Business Day immediately preceding the relevant Dealing Day.**

Skyline Umbrella Fund ICAV  
Attention: Davy TA Queries Team  
C/o: Northern Trust International Fund Administration Services (Ireland) Limited  
2nd Floor, Block A  
City East Plaza  
Towlerton, Ballysimon  
Limerick  
V94 X2N9  
Ireland  
T +353 (0)1 434 5124  
F +353 (0)1 434 5285  
E [davy\\_ta\\_queries@ntrs.com](mailto:davy_ta_queries@ntrs.com)

# SKYLINE UMBRELLA FUND ICAV

## Account Opening Form ARGA Global Equity Fund

**1. Registration Details**

*Please complete in full*

Full Name of Applicant:

Registered Office Address	Mailing Address (if different)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Telephone Number:	<input type="text"/>	Telephone Number:	<input type="text"/>
Fax Number:	<input type="text"/>	Fax Number:	<input type="text"/>
Contact Name:	<input type="text"/>	Contact Name:	<input type="text"/>
E-mail Address:	<input type="text"/>	E-mail Address:	<input type="text"/>

**Details of Authorised Contactee/Agent\***

By ticking the box opposite and signing this Account Opening Form, I hereby authorise the Administrator to transfer to the authorised contactee/agent copies of contract notes, statements, investor reports and other documentation that may contain my personal details and/or information in relation to my investment in the Sub-Fund.

\*If you do not wish to appoint an authorised contactee/agent, please leave this section blank.

Full Name:

Relationship with the Applicant: (e.g. agent)

Registered Office Address	Mailing Address (if different)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Telephone Number:	<input type="text"/>	Telephone Number:	<input type="text"/>
Fax Number:	<input type="text"/>	Fax Number:	<input type="text"/>
Contact Name:	<input type="text"/>	Contact Name:	<input type="text"/>
E-mail Address:	<input type="text"/>	E-mail Address:	<input type="text"/>

**2. Bank Account Details** *Please complete in full*

**Applicant’s Banking Information**

Important information regarding bank accounts for subscriptions and redemptions

Third party payments are not permitted for redemptions. Please confirm the details of the bank accounts from which and to which payments will be made below.

A copy of a recent bank statement (no more than 3 months old) or a banker’s reference, must accompany the application to evidence the bank account details provided for subscriptions and redemption payments are for a bank account in the registered account name. Accounts in the name of regulated entities are exempt from this requirement

To meet our anti-money laundering and counter terrorist financing obligations under the current legislation, all joint bank account holders will be required to complete identity and verification requirements, even where those joint bank account holders will not be registered holders of shares or units in the fund.

Failure to provide all the anti-money laundering and verification requirements, bank account information and documentation requested as part of this application will result in a failure in setting up the account and subscribing to the fund.

THIS SECTION MUST BE COMPLETED BY ALL INVESTORS OTHER THAN EQUIVALENTLY REGULATED ENTITIES OPENING AN ACCOUNT IN THEIR OWN NAME OR THE NAME OF THEIR NOMINEE COMPANY, EITHER FOR THEIR OWN BENEFIT OR THE BENEFIT OF ONE OR MORE THIRD PARTIES

A request to change bank account details MUST BE MADE IN WRITING TO THE ADMINSTRATOR AND MUST BE ACCOMPANIED BY a bank statement or banker’s reference to evidence the new bank account details provided are for a bank account registered account name.

**BENEFICIARY BANK INFORMATION**

Name of Bank		
A/c Name		
Address of Bank		
A/c Number		
Sort Code		
IBAN:		
Swift Code / ABA		Reference Code:

**CORRESPONDENT BANK INFORMATION**

**Note:** Required if Payment CCY differs to bank account residency or if your bank account does not support Multi currency payments

Correspondent Bank Name	
Correspondent BIC/SWIFT Code/Sort Code/ABA Code	

# SKYLINE UMBRELLA FUND ICAV

## Account Opening Form ARGA Global Equity Fund

Correspondent A/c Name	
Correspondent Account Number / IBAN	
Correspondent Bank Address	

**Distribution/Dividend Requirement**

Please indicate below opposite the relevant share class whether you would like you like your distributions/dividends paid by telegraphic transfer to the account specified below or re-invested.

Share Class Name		Paid into my account (please tick)	Reinvested into the Fund (please tick)
Class I GBP£ Shares	GBP	<input type="checkbox"/>	<input type="checkbox"/>
Class PD USD\$ Shares	USD	<input type="checkbox"/>	<input type="checkbox"/>
Class PD GBP£ Shares	GBP	<input type="checkbox"/>	<input type="checkbox"/>
Class PD EUR€ Shares	EUR	<input type="checkbox"/>	<input type="checkbox"/>
Class PD CHF Shares	CHF	<input type="checkbox"/>	<input type="checkbox"/>

**Please note that if no option is indicated, distribution and dividend payments will be reinvested.**

Distributions/ dividends paid by telegraphic transfer will be paid to the account details as completed below.

**Please complete the Bank Account Details for the account that you wish any redemption payment and any distribution proceeds to be paid:**

**Bank account details for any redemption payments and distribution proceeds**

Name of Bank	<input type="text"/>
SWIFT Code	<input type="text"/>
Account Number	<input type="text"/>
Account Name	<input type="text"/>
Sort Code & IBAN	<input type="text"/>

**Note: No third party payments will be undertaken**

**Note: A request to change bank account details must be made in writing to the administrator, requiring an original signed instruction, and must be accompanied by a bank statement or banker’s reference**

Please contact the Administrator if payment details are required in any other currency. Any bank charges in respect of telegraphic transfers or otherwise will be deducted from the amount or value of subscriptions and the net amount invested in Shares. Requests for redemption or distribution payments to be made by telegraphic transfer will be subject to bank charges. The Base Currency of the Sub-Fund and the designated currency of any Shares will be set out in the Prospectus and is also noted above. Applications for Shares shall be accepted in the Base Currency of the relevant Sub-Fund or the designated currency of the relevant Share Class. At the discretion of the ICAV or the Manager, applications may be accepted in currencies other than the Base Currency. No redemption payment may be made until the original Account Opening Form has been received by the Administrator and the Administrator is satisfied that all necessary anti-money laundering documentation and checks have been received and completed in full.

By **ticking the box** opposite, I hereby consent to the provision of contract notes, statements and other reports, by secured or encrypted electronic transmission, which may be issued from time to time by the Administrator in respect of my holdings in the ICAV.

**3. Declaration of Residency (applicable to Irish resident investors only)**

**Investment Undertaking Tax - Declaration of Residence**

**Declaration of Residence inside or outside Ireland (OWN BEHALF ONLY)**

Please tick (i), (ii) or (iii) as appropriate

**(i) Irish residents**

I am/we are an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax.*

**OR**

**(ii) Exempt Irish residents**

I am/we are an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of exempt Irish residents below.***

**OR**

**(iii) Non-Irish residents**

I am/we are not currently resident or ordinarily resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of residence outside Ireland below.***

**Declaration of Residence for the beneficial owner inside or outside Ireland (INTERMEDIARIES ONLY)**

Please tick (i), (ii) or (iii) as appropriate

**(i) Irish residents**

As an Intermediary, I/we declare that the person who will be beneficially entitled to the units is an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax.*

**OR**

**(ii) Exempt Irish residents**

As an Intermediary, I/we declare that the person who will be beneficially entitled to the shares/units is an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of exempt Irish residents below.***

**OR**

**(iii) Non-Irish residents**

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As an Intermediary, I/we declare that the person who will be beneficially entitled to the shares/units is not currently resident or ordinarily resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of residence outside Ireland below.***

Authorised signatory: \_\_\_\_\_

Authorised signatory: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

### Declaration of exempt Irish resident entities

#### Declaration referred to in Section 739D(6), Taxes Consolidation

**[It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units.]**

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for the shares/units on behalf of the applicant named below who is entitled to the units in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997, being a person who is:  
(please tick  as appropriate)

- a pension scheme;
- a company carrying on life business within the meaning of section 706 TCA 1997;
- an investment undertaking;
- an investment limited partnership;
- a special investment scheme;
- a unit trust to which section 731(5)(a) TCA 1997 applies;
- a charity being a person referred to in section 739D(6)(f)(i) TCA 1997;
- a qualifying management company;
- entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA, 1997\* (see further requirement for Qualifying Fund Manager below);
- a PRSA Administrator;
- a credit union within the meaning of section 2 of the Credit Union Act 1997.

#### Additional requirements where the declaration is completed on behalf of a Charity

- I also declare that at the time of making this declaration, the units in respect of which this declaration is made are held for charitable purposes only and
  - form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or

- are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.
- I undertake that, in the event that the person referred to in paragraph (7) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA, 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

**Additional requirements where the declaration is completed by a qualifying fund manager / PRSA Administrator**

- I/we\* also declare that at the time this declaration is made, the units in respect of which this declaration is made
  - are assets of \*an approved retirement fund/an approved minimum retirement fund or a PRSA, and
  - are managed by the Declarant for the individual named below who is beneficially entitled to the units.
- I/we\* undertake that, if the units cease to be assets of \*the approved retirement fund/the approved minimum retirement fund or the PRSA, including a case where the units are transferred to another such fund or account, I/we\* will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

\*Delete as appropriate

**Additional requirements where the declaration is completed by an Intermediary**

- I/we\* also declare that I am/we are\* applying for shares/units on behalf of persons who
  - to the best of my/our\* knowledge and belief, have beneficial entitlement to each of the shares/units in respect of which this declaration is made, and
  - is a person referred to in section 739D(6) TCA 1997.
- I/we\* further declare that
  - Unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us\* from the date of this application will be made on behalf of persons referred to in section 739D TCA 1997, and
  - I/we\* will inform you in writing if I/we\* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997.

\* Delete as appropriate

**Name of applicant:** \_\_\_\_\_

**Irish tax reference number of applicant:** \_\_\_\_\_

**Authorised signatory:** \_\_\_\_\_ **(declarant)**

**Capacity in which declaration is made:** \_\_\_\_\_

**Authorised signatory:** \_\_\_\_\_ **(declarant)**



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Capacity in which declaration is made: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

### IMPORTANT NOTES

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.

2. Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA, 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the share/units.

3. In the case of, (i) an exempt pension scheme, the administrator must sign the declaration; (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration; (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of an approved retirement fund/an approved minimum retirement fund or a PRSA, it must be signed by a qualifying fund manager or PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. A copy of the power of attorney should be furnished in support of this declaration.

### Declaration of residence outside Ireland

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units. Terms used in this declaration are defined in the Prospectus.

#### Declaration on own behalf

I/we\* declare that I am/we are\* applying for the shares/units on my own/our own behalf/on behalf of a company\* and that I am/we are/the company\* is entitled to the shares/units in respect of which this declaration is made and that

- I am/we are/the company is\* not currently resident or ordinarily resident in Ireland, and
- Should I/we/the company\* become resident in Ireland I will/we will\* so inform you, in writing, accordingly.

\*Delete as appropriate

#### Declaration as Intermediary

I/we\* declare that I am/we are\* applying for shares/units on behalf of persons:

- who will be beneficially entitled to the shares/units; and
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

\*Delete as appropriate

Name and address of applicant: \_\_\_\_\_

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**Signature of applicant or authorised signatory:** \_\_\_\_\_ (declarant)

**Capacity of authorised signatory (if applicable):** \_\_\_\_\_

**Date:** \_\_\_\_ / \_\_\_\_ / \_\_\_\_

**Joint Applicants:**

**Names:** \_\_\_\_\_ **Signatures:** \_\_\_\_\_

**IMPORTANT NOTES**

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the Account Opening Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the Account Opening Form (incorporating the declaration required by the Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the declaration.

#### 4. Identity Verification

In accordance with the Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 - 2018 (the "Act"), please complete the area below applicable to you and provide the identity verification documentation initially requested.

**IMPORTANT:** Be aware that the ICAV reserves the right to request additional information and documentation, *including requiring that previously submitted documentation be certified as a true copy and re-submitted* to ensure the ICAV's compliance with the Act and any associated regulations and authoritative guidance.

Be aware that this may extend to identifying the beneficial owner and verifying their identity until such time that the ultimate natural persons beneficially owning the investment are identified.

**Please note that for certain types of investors (for example a politically exposed person ("PEP") or a recognised regulated entity) the administrator will require and request further information.**

#### Examples of Photographic Identity Documentation

- Current passport.
- Current driving licence.
- Current national identity card.
- Identity document with photographic identity issued by a government department.

#### Examples of Proof of Address

- Bank statements/credit card statements.
- Utility bill.
- Household/motor insurance certificate and renewal notices.
- Correspondence from local authorities.
- Correspondence from the revenue commissioners or equivalent.
- Correspondence from any government body.
- Driving licence which confirms the address provided it has not also been used to satisfy the photographic identity requirement above.
- Payslip or salary advice dated within the previous 3 months.
- Confirmation of address from a lawyer or financial institution.

Note: All documentation must reflect the current residential address and must be dated within the previous 3 months other than in the case of an official document known to be issued only or typically at fixed intervals of more than 3 months, in which case such document may be accepted during that period, to a maximum of 12 months (e.g. correspondence from local authorities).

E X C E P T I O N S	
<p>If you are one of the following (tick where appropriate), you do not need to complete the Supplemental Investor Identification Information section, subject to (i) the ICAV's agreement and (ii) its right to request additional information and documentation.</p>	
<input type="checkbox"/> (a) We are a regulated credit or financial institution in this EU Member State	<div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p style="text-align: center; margin-top: 5px;"><i>EU Member State Country Name</i></p>
<input type="checkbox"/> (b) We are a regulated credit or financial institution in this country	<div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p style="text-align: center; margin-top: 5px;"><i>Country Name</i></p>
<input type="checkbox"/> (c) We are a company listed on this stock exchange	<div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p style="text-align: center; margin-top: 5px;"><i>Exchange Name</i></p> <div style="border: 1px solid black; height: 40px; width: 100%; margin-top: 10px;"></div> <p style="text-align: center; margin-top: 5px;"><i>Company Name and Country</i></p>
<input type="checkbox"/> (d) We are a registered pension or superannuation fund in this EU Member State	<div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p style="text-align: center; margin-top: 5px;"><i>EU Member State Country Name</i></p>
You may independently verify this registration on our regulator's website named here or we attach a copy of our authorisation	<div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p style="text-align: center; margin-top: 5px;"><i>Regulator Website</i></p>

**Supplemental Investor Identification Information**

**Tick, complete as appropriate and attach the documentation required. If there is insufficient space, attach the information to the application and indicate in the relevant box to see your attachments**

- Natural Persons**  
 Please confirm whether you are a politically exposed person. A politically exposed person is defined as an individual who is or was, at any time in the preceding year, entrusted with prominent public functions, and their immediate family members, or persons known to be close associates of such persons:  

Yes       No

**Required Identity Verification Documentation**

Please provide:

- A photocopy of a photographic identity documents such as a passport or driver's license and
- One non-photographic identity document (such as a utility bill)

- Corporate Entities**  
 Country of Registration

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Registration Number

Principal Business Address (if different to application address)

Names of all Directors (attach a sheet if necessary)

Name of beneficial owners who own more than 25% of the share capital or voting right or indicate if none (attach a sheet if necessary)

**Required Identity Verification Documentation**

Please provide

- A copy of one of (i) certificate of incorporation (or equivalent), or (ii) the memorandum & articles of association (or equivalent) or, (iii) the latest audited financial statements, and
- For each of two (2) directors of the company, please provide:
  - A photocopy of a photographic identity document such as passport or driver’s license and
  - One non-photographic identity document (such as a utility bill)

**Partnerships, Limited Liability Companies, Limited Liability Partnerships, and Equivalents**  
Country of Domicile

Principal Business Address if different to application address

Name and address of General Partner (or equivalent)

Name of all partners/members (attach a sheet if necessary)

Name of beneficial owners who own more than 25% of the entity or its voting rights or indicate if none (attach a sheet if necessary)

**Required Identity Verification Documentation**

Please provide

- A copy of the partnership agreement or equivalent, and
- The appropriate identity verification documentation\*, depending on their legal nature, for:
  - The General Partner (or equivalent), or
  - Any two partners, or
  - Any one partner and one authorised signatory

*\* i.e. if they are a corporate entity, see Required Identity Verification Documentation within the Corporate Entities section above, or if they are a natural person, then the following is required:*

- A photocopy of a photographic identity document such as passport or driver's license and
- One non-photographic identity documents (such as a utility bill)

**Trusts, Pensions, Foundations, and Similar Entities**

Nature and/or purpose of the trust, pension, charity, etc.

Principal Business Address if different to application address

Names of all trustees/ directors/ governors (attach a sheet if necessary)

Name of settler, sponsoring employer, or equivalent

Name of all beneficial owners who own more than 25% of capital or indicate if none (attach a sheet if necessary). If a charity, the names or classes of beneficiaries

Pensions Only — please confirm the following:

- The scheme provides retirement benefits to employees Yes  No
- Contributions are made by an employer or by way of deduction from an employee’s wages Yes  No
- The scheme’s rules do not permit the assignment of a member’s interest under the scheme Yes  No

**Required Identity Verification Documentation**

Please Provide

- A copy of the trust deed (or equivalent) or confirmation of the entity to an appropriate register, and
- The appropriate identity verification documentation\*, depending on their legal nature, for:
  - Any two trustees / directors / governors / equivalent, or
  - Any one trustee / director / etc. and one authorised signatory

*\*i.e. if they are a corporate entity, see Required Identity Verification Documentation within the Corporate Entities section above, or if they are a natural person, then the following is required:*

- A photocopy of a photographic identity document such as passport or driver's license and
- One non-photographic identity documents (such as a utility bill)

**None of the Above**

Please contact the Distributor or Administrator for requirements.

**5. Benefit Plan Investors**

In order for the ICAV and each Fund to accurately monitor its "Benefit Plan Investor" participation, please review the following definition and make the appropriate representations by checking all applicable boxes following the definition

The Subscriber represents that it is:

- A.  not a Benefit Plan Investor<sup>[1]</sup>; or
- B.  a Benefit Plan Investor that is:
  - 1.  subject to Part 4 of Title I of the Employee Retirement Income Security Act of 1974, as amended ("**ERISA**");
  - 2.  subject to Section 4975 of the Internal Revenue Code of 1986, as amended (the "**Code**") (that has not checked B1);
  - 3.  an entity whose underlying assets include "**plan assets**". The Subscriber also represents that the percentage of its "plan assets" compared to the value of its total assets is not more than:
 

<input type="checkbox"/>	10% *	<input type="checkbox"/>	20% *	<input type="checkbox"/>	30%	<input type="checkbox"/>	40%
<input type="checkbox"/>	50%	<input type="checkbox"/>	60%	<input type="checkbox"/>	70%	<input type="checkbox"/>	80%
<input type="checkbox"/>	90%	<input type="checkbox"/>	100%				

(\* applicable to entities with multiple classes, one of which exceeds the 25% threshold for Benefit Plan Investors)

- 4.  an insurance company general account (or is investing general account assets under the U.S. Department of Labor Advisory Opinion Letter 2005-19A). The Subscriber also represents that the percentage of "plan assets" included in the general account is not more than:
 

<input type="checkbox"/>	10%	<input type="checkbox"/>	20%	<input type="checkbox"/>	30%	<input type="checkbox"/>	40%
<input type="checkbox"/>	50%	<input type="checkbox"/>	60%	<input type="checkbox"/>	70%	<input type="checkbox"/>	80%

<sup>[1]</sup> A Benefit Plan Investor means (1) an "employee benefit plan" within the meaning of Section 3(3) of the Employee Retirement Income Security Act of 1974, as amended, that is subject to the provisions of Part 4 of Title I of ERISA, (2) an individual retirement account, Keogh plan or other plan described in Section 4975(e)(1) of the US Internal Revenue Code of 1986, as amended, (3) an entity whose underlying assets include "plan assets" by reason of 25% or more of any class of equity interests in the entity being held by plans described in (1) or (2) above, or (4) any other entity (such as an insurance company separate or general account or a group or common trust) whose underlying assets include "plan assets" by reason of an investment in the entity by plans described in (1) or (2) above.



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90%  100%.

The Subscriber agrees to promptly notify the Manager and the Administrator immediately if the above response changes and to provide any other information reasonably requested by the Fund, the Manager or the Administrator for the purposes of determining whether or not the Fund is holding "plan assets".

If the Subscriber is, or is acting on behalf of, an employee benefit plan (a "**Plan**") which is subject to ERISA, the Subscriber represents and warrants that: (a) it is aware of and has taken into consideration any applicable diversification requirements of Section 404(a)(1)(C) of ERISA; (b) it has concluded that its proposed investment in the Fund is a prudent one and has independently decided to invest in the Fund; (c) the fiduciary or other person signing this Subscription Agreement is independent of the Fund and parties providing services to the Fund (including without limitation the Manager); (d) this subscription and the investment contemplated hereby is in accordance with all requirements applicable to the Plan under its governing instruments and under ERISA; (e) the Subscriber acknowledges and agrees that parties providing services to the Fund shall not be a "fiduciary" (within the meaning of Section 3(21) of ERISA) with respect to any assets of the Plan by reason of the Subscriber's investment in the Fund; (f) the Subscriber represents and warrants that neither the Manager nor any affiliate thereof has acted as a fiduciary to the Subscriber with respect to the decision to invest in the Fund nor have such persons provided investment advice or a recommendation with respect to the decision of the Subscriber to invest in the Fund; and (g) the investment by the Subscriber in the Fund will not result in a "prohibited transaction" under Section 406 of ERISA or Section 4975(c) of the Code.

### 6. Representations, Authorities, and Indemnities

1. I/we confirm that I am / we are 18 years of age or over (delete if you are not a natural person).
2. If you are joint applicants – We direct that, on the death of one of us, the Shares for which we are applying shall be held in the name of and to the order of the survivor(s) or the executor(s) or administrator of the last such survivor (delete if you are not a natural person or you are a sole investor).
3. I/we confirm that I am / we are not a US Person (as defined in the Prospectus) and am/are not acquiring Shares on behalf of, or for the benefit of, a US Person, nor do I/we intend transferring any Shares which I/we may purchase to any person who is a US Person. I/we confirm that I/we have the authority to make this investment whether the investment is our own or is made on behalf of another person or institution.
4. I/we indemnify the ICAV, Investment Manager, Depositary, Administrator and other Shareholders for any loss suffered by them as a result of me/us acquiring or holding Shares in the ICAV where, by virtue of my / our holding, I am / we are in breach of the laws of any competent jurisdiction.
5. I/we hereby acknowledge as part of this application that I/we have been provided with a copy of the Prospectus and applicable Supplement and where applicable the most recent annual or half-yearly reports and accounts for the ICAV and furthermore that this application is made on the terms thereof and subject to the provisions of the Instrument of Incorporation of the ICAV. In particular, I/we acknowledge that I am/we are aware of the potential risks associated with this investment and where appropriate have sought professional advice on matters of taxation and such other consequences applicable to the investment.
6. I/we hereby agree to indemnify and hold harmless the ICAV, the Manager, the Administrator, the Depositary, the Investment Manager, and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition covenant or agreement set forth herein or in any document delivered to by me/us to the ICAV or the Administrator. The ICAV, the Investment Manager, and the Administrator will not be responsible or liable for the authenticity of instructions received from us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine.
7. The Administrator, the Investment Manager, and the ICAV are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this Account Opening Form relates given by me/us in written form, or by facsimile.
8. I/we agree to indemnify each of the Administrator, the Manager, the Investment Manager, and the ICAV and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon a facsimile instruction. In circumstances where such instructions relate to a change in the Applicant's Banking Information referred to above, I/we confirm that I/we will provide you with an original instruction.
9. The Administrator, the Manager, the Investment Manager, and the ICAV may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
10. I/we acknowledge that the Administrator will refuse to process a redemption request until the Administrator has received an original of this Account Opening Form and until all required anti money documentation has been received by the Administrator.
11. I/we confirm that I/we have the capacity and am/are duly authorised to make this application and to make the representations and give the indemnities referred to in this Account Opening Form.
12. I/we shall provide the ICAV, the Manager and the Administrator with any additional information which it may reasonably request in connection with tax and or/FATCA regulations/reporting requirements or other similar requirements in order to substantiate any representations made by me/us or otherwise and I/we authorise the ICAV or its agents to disclose such information relating to this application to such persons as they consider appropriate. For the purposes of the Tax Reporting Services, the Administrator may assume that the Sub-Fund has obtained any and all effective consents, agreements, waivers or approvals that may

be required from Shareholders or others to whom a duty of confidentiality is owed and the Administrator shall not be obliged to enquire further but shall be entitled to rely on any representation made by the Sub-Fund or its duly authorised representatives in this respect.

I/we agree to provide to the ICAV, the Manager and the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require (the "Tax Information") in connection with this investment (including for the purposes of tax and/or FATCA regulations/reporting requirements). Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the ICAV or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the ICAV.

In addition to any information required to the Tax Information, I/we agree to promptly provide, and periodically update, at any times requested by the ICAV, any information (or verification thereof) the ICAV deems necessary to comply with any requirement imposed by Sections 1471-1474 of the U.S. Internal Revenue Code of 1986, as amended (the "Code"), and any Treasury Regulations, forms, instructions, or other guidance issued pursuant thereto in order to reduce or eliminate FATCA withholding taxes. The information required to be provided by the preceding sentence may include, but shall not be limited to, (A) information the ICAV deems necessary to determine whether the Shareholder is a "foreign financial institution" ("FFI") as defined in Code 1471(d)(4) or a "non-financial foreign entity" ("NFFE") as defined in Code 1472(d), (B) if I/we am/are an FFI, any certification, statement or other information the ICAV deems necessary to determine whether I/we meet the requirements of Code 1471(b) (including entering into an agreement with the IRS pursuant to Code 1471(b) (an "FFI Agreement") and complying with the terms thereof or, if the FFI is in a jurisdiction that has signed an intergovernmental agreement ("IGA") with the United States, complying with the terms of the IGA and any local laws or regulations implementing its terms) or is otherwise exempt from withholding required under Code 1471, (C) if I/we am/are an FFI, including an FFI in a jurisdiction that has signed an IGA, a Global Intermediary Identification Number ("GIIN") assigned by the IRS; and (D) if I/we am/are an NFFE, any certification, statement or other information the ICAV deems necessary to determine whether a shareholder meets the requirements of Code 1472(b) (which information may be given to the U.S. Internal Revenue Service ("IRS") pursuant to Code 1472(b)(3)) or is otherwise exempt from withholding required under Code 1472.

In addition to the Tax Information, I/we agree to promptly provide, at any times requested by the ICAV, any information (or verification thereof) the ICAV deems necessary for the ICAV to comply with the terms of the IGA and any Irish laws, regulations or other guidance implementing the IGA, and any information required to comply with the terms of that agreement on an annual or more frequent basis. I/we agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requests and acknowledges that, if it fails to provide such waiver, it may be required by the ICAV to withdraw from the Sub-Fund if necessary to comply with FATCA and the IGA.

By providing the Tax Information, I/we represent and warrant the completeness and accuracy of such information (as at the date of submission) and authorise the ICAV to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. The ICAV shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of the Tax Information provided by us and I/we will, on demand, hold the ICAV harmless from any liability resulting from the my/our failure to provide complete and accurate Tax Information.

I/we hereby acknowledge that if I/we fail to provide the Tax Information on a timely basis, I/we may be subject to 30% U.S. withholding tax on the investor's share of "withholdable payments" (as defined for purposes of FATCA) received by the ICAV.

I/we hereby acknowledge that if I/we fail to provide the Tax Information and such failure results in the ICAV being unable to comply with the IGA, the ICAV may exercise its right to completely redeem an applicant (at any time upon any or no notice). I/we further acknowledge and agree to indemnify the ICAV and its other investors for any losses resulting from our failure to meet its obligations under this Section, including any U.S. withholding tax imposed on the ICAV.

13. I/we request that the Shares issued pursuant to this application are registered in the name and address set out on page 1 hereof.

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14. I/we accept such lesser number of Shares if any, than may be specified above in respect of which this application may be accepted.
  15. I/we acknowledge that all telephone calls with the Investment Manager, the Manager, the Administrator or Depositary may be recorded and consent to such recording.
  16. I/we agree (i) to provide these representations to the ICAV and/or the Manager at such times as the ICAV and/or the Manager may request, and (ii) to provide on request such certifications, documents or other evidence as the ICAV and/or the Manager may reasonably require to substantiate such representations.
  17. I/we agree to notify the ICAV and/or the Manager immediately if I/we become aware that any of the representations made is no longer accurate and complete in all respects. I/we agree immediately either to sell or to tender to the ICAV for redemption of a sufficient number of Shares to allow the representations to be made again.
  18. I/we acknowledge that in respect of a fund which has invested in equity related securities, all or part of that fund's fee and expenses may be charged to the capital of the fund and that this policy will have the effect of lowering the capital value of my/our investment.
  19. I/we understand that the confirmations, representations, declarations and warranties made herein are continuing and apply to all subsequent purchases of Shares by me/us in the ICAV.

**Key Investor Information Document ("KIID")**

1. I/we hereby acknowledge and confirm that I/we have received, read and understood the KIID for the Sub-Fund and Class(es) to which this Account Opening Form relates in good time prior to completing this Account Opening Form. I/we hereby confirm that for any subsequent investments I/we will obtain and read the latest version of the appropriate KIID prior to each subscription and that any future investments to any other sub-fund or share class of the ICAV can also be transacted based on this confirmation. The KIID is available on <https://www.davygfm.com/funds-factsheets/management-company-services>.

2. I/we represent and acknowledge that:

I/we have regular access to the internet and acknowledge and agree that the provision of the KIID by way of email or website is appropriate in the context in which the business between the ICAV, its delegate(s) and me/us is, or is to be, carried on and that the provision by me/us of my/our email address to the ICAV or its delegate(s) is evidence of this.

I/we have been offered the choice of receiving the prospectus and the KIID on paper and in electronic form by means of a website or by email and hereby specifically consent to receiving the KIID in electronic form by email or by accessing the latest version of the document online at <https://www.davygfm.com/funds-factsheets/management-company-services> (or such other website as may be notified to me/us from time to time).

I/we have also been notified electronically of this website address and the place on the website where the KIID can be accessed.

I/we received or accessed by electronic means the KIID.

I/we consent to accessing the KIID by electronic means before making any subsequent and/or future subscriptions for Shares in any Class of the Sub-Fund.

The KIID shall be reviewed at least every 12 months and that the up-to-date version of the KIID at any given time shall be made available on <https://www.davygfm.com/funds-factsheets/management-company-services> (or such other website as may be notified to me/use from time to time). I/We agree that I/we will inspect the KIID by accessing the website in good time before making any subsequent and/or future subscriptions for Shares in any Class of the Sub-Fund. I/We agree that I/we bear sole responsibility for ensuring that I/we have inspected the up-to-date version of the KIID and that, in any event, I/we consent to be, and shall be deemed to have been, provided with the up-to-date KIID by means of the website in good time before any subsequent or future subscriptions.

Where I/we are acquiring the Shares for or on behalf of any person in my/our capacity as a sub-distributor of the ICAV, I/we certify that prior to accepting any order for the acquisition of Shares, I/we will ensure that the investor will be provided with the current KIID for the relevant Share Class. Where permitted by applicable law, "provided" shall include informing the investor of the applicable website where the KIID may be obtained, which is <https://www.davygfm.com/funds-factsheets/management-company-services> (or such other website address as may be notified to me/us from time to time).

I/we may at any time request a hard copy of any such documentation from the Sub-Fund free of charge and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically or to subscribe or redeem Shares of the Sub-Fund electronically.

**Data Protection**

- A. I/We consent to personal information obtained in relation to me/us being handled by the Administrator, the ICAV, the Manager or the Investment Manager and their delegates, agents or affiliates in accordance with the Irish Data Protection Acts 1988 to 2003. Information in relation to me/us will be held, used, disclosed and processed for the purposes of (a) managing and administering my/our holdings in the Sub-Fund and any related account on an ongoing basis; (b) for any other specific purposes where I have given specific consent to do so; (c) to carry out statistical analysis and market research (d) to comply with any applicable legal, tax or regulatory obligations including legal obligations under company law, tax law and anti-money laundering legislation and (e) for disclosure and transfer whether in Ireland or elsewhere (including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland) to third parties including my/our financial adviser (where appropriate), regulatory bodies, taxation authorities, auditors, technology providers or to the Sub-Fund and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above; (f) For other legitimate business interests of the Sub-Fund. I/We hereby acknowledge my/our right of access to and the right to amend and rectify my/our personal data, as provided herein. I/We understand that the Sub-Fund is a data controller and will hold any personal information provided by me/us in confidence and in accordance with the Data Protection Act 1988 as amended by the Data Protection (Amendment) Act 2003. The Administrator may only transfer personal data outside of the EEA by using an EU-approved 'model contract' by means of which the non-EEA data receiver agrees to abide by the instructions of the data controller (the Administrator), and agrees to comply with security measures which are appropriate to the circumstances of the data transfer, and which are specified in the contract itself.
- B. I/We consent to the recording of telephone calls that I/we make to and receive from the Administrator, the ICAV, the Manager or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes. I/We consent to the ICAV, the Manager or the Investment Manager sending information about other investment services to me/us by letter, telephone or other reasonable means of communication. I/We understand that I/we have a right not to receive such information. The Administrator or ICAV may disclose personal information to the Depositary and/or the Investment Manager and/or the Manager and/or third parties where necessary or for legitimate business interests. This may include disclosure to third parties such as auditors and the Central Bank of Ireland or agents of the Administrator who process the personal information for anti-money laundering purposes or for compliance with foreign regulatory requirements. The Administrator may and will hold all or part of the information in relation to your shareholding in accordance to legal and regulatory requirements even after you have fully redeemed from the fund.
- C. I/we consent to the processing of my/our information and the disclosure of my/our information as outlined above and to the Manager and/or the Investment Manager and where necessary or in the ICAV's legitimate interests to any company in the Investment Manager's group of companies or agents of the ICAV including companies situated in countries outside the European Economic Area, which may not have the same data protection laws as in Ireland.
- D. From time to time, the Manager and/or the Investment Manager may send you information about other products or services which they offer. You have a right not to receive such information.
- If you do wish to receive such information and/or marketing material, **please tick here:**

**7a. FATCA Self-Certification - ENTITY**

**Instructions for completion**

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003). Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

Unless otherwise stated, all terms identified in italics are as defined in the Agreement between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA (the "Agreement"), a copy of which is available on the Irish Revenue website at <http://www.revenue.ie> or at <http://www.revenue.ie/en/business/international/agreement-ireland-usa-compliance-fatca.pdf>

If any of the information below about the Investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

If you have any questions about how to complete this form, please contact your tax advisor. For further information and guidance on FATCA or CRS please refer to the Irish Revenue or the OECD website at:

<http://www.revenue.ie/en/business/aeoi/index.html>

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/> in the case of CRS only.

**Investors** that are individuals should not complete this form and should complete Section 7b.

**Section 1: Investor Identification: (to be completed if used as a standalone form)**

Investor Name: \_\_\_\_\_ (the "Entity")

Registered Address:

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

**SKYLINE UMBRELLA FUND ICAV**  
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Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_

Mailing address (if different from above):

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_

Country of Incorporation: \_\_\_\_\_

**Section 2: Specified U.S. Person:**

Please tick either (a) or (b) below and complete as appropriate.

a)  The Entity is a Specified U.S. Person and the Entity’s U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: \_\_\_\_\_

b)  The Entity is not a Specified U.S. Person (please also complete Sections 3 and 4)

**Section 3: Declaration of Tax Residency**

(Note: Declaration of tax residency is requested in the context of the OECD Common Reporting Standard (“CRS”), an initiative to implement automatic exchange of financial account information on a global basis.)

Please indicate the Entity’s place of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency	Tax ID Number

Entity’s FATCA Classification:

1. Financial Institutions:

If the Entity is a Financial Institution, please tick one of the below categories, and provide the Entity’s GIIN at 2.

I.	Irish Financial Institution or a Partner Jurisdiction Financial Institution	
II.	Registered Deemed Compliant Foreign Financial Institution	
III.	Participating Foreign Financial Institution	

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## Account Opening Form ARGA Global Equity Fund

2. Please provide the Entity’s Global Intermediary Identification number (GIIN)

3. If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

I.	Partner Jurisdiction Financial Institution and has not yet obtained a GIIN	
II.	The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN Please provide the sponsor’s name and sponsor’s GIIN : Sponsor’s Name: _____ Sponsor’s GIIN: _____	
III.	Exempt Beneficial Owner	
IV.	Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)	
V.	Non-Participating Foreign Financial Institution	
VI.	Excepted Foreign Financial Institution	
VII.	U.S. person but not a Specified U.S. person	

**Section 4. Non-Financial Institutions:**

If the Entity is not a Financial Institution, please confirm the Entity’s FATCA status below:

- I. The Entity is an Active Non-Financial Foreign Entity  or
- II. The Entity is a Passive Non-Financial Foreign Entity  (If the Entity is a Passive Non-Financial Foreign Entity, please provide details of any Controlling Persons in the below box (whose percentage of ownership is 25% or greater) which are U.S. citizens or resident in the U.S. for tax purposes. The term Controlling Persons is to be interpreted in a manner consistent with the recommendations of the Financial Action Task Force.

Full Name	Date of Birth	Full Residence Address	Details of Controlling Person's Ownership	Tax Reference Number

- III. The Entity is an Excepted Non-Financial Foreign Entity  or
- IV. The Entity is a U.S. person but not a Specified U.S. person

**Section 5: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.



**SKYLINE UMBRELLA FUND ICAV**  
**Account Opening Form ARGA Global Equity Fund**

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Authorised Signature(s):

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Capacity in which declaration is made:

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Date: (dd/mm/yyyy): \_\_\_\_\_

**7b. FATCA Self-Certification - Individual**

**Instructions for completion**

We are obliged under Section 891E of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to that section to collect certain information about each investor’s tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities.

All terms identified in italics are as defined in the Agreement between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA, a copy of which is available [on the Irish Revenue website at <http://www.revenue.ie> or at <http://www.revenue.ie/en/business/international/agreement-ireland-usa-compliance-fatca.pdf>

If any of the information below about your tax residence or FATCA classification changes in the future, please ensure you advise us of these changes promptly.

If you have any questions about how to complete this form, please contact your tax advisor.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

**Section 1: Investor Identification**

Investor Name:  
\_\_\_\_\_

Fund Name:  
\_\_\_\_\_

Residential Address:

Number: \_\_\_\_\_

Street: \_\_\_\_\_

City, Town, State, Province or County:  
\_\_\_\_\_

Postal Code: \_\_\_\_\_

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Country: \_\_\_\_\_

Mailing address (if different from above):

Number: \_\_\_\_\_

Street: \_\_\_\_\_

City, Town, State, Province or County:  
\_\_\_\_\_

Postal Code: \_\_\_\_\_

Country: \_\_\_\_\_

Country of Birth: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

**Section 2: Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:**

Please tick either (a) or (b) and complete as appropriate.

(a)  I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number

(U.S. TIN) is as follows: \_\_\_\_\_.

OR

(b)  I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.

**Section 3: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature: \_\_\_\_\_

Date: (dd/mm/yyyy ): \_\_\_\_\_

**8a. Common Reporting Standard ("CRS") Self-Certification - Entity**

**Instructions for completion**

We are obliged under Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements.

Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange> in the case of CRS only.

If any of the information below about the investor's tax residence or CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an \*)

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for CRS"

**Section 1: Investor Identification**

Investor Name\*: \_\_\_\_\_ (the "Entity")

Country of Incorporation or Organisation: \_\_\_\_\_

**Current Registered Address\*:**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Mailing address (if different from above):**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Section 2: CRS Declaration of Tax Residency**  
**(Please note that you may choose more than one country)\***

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

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If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

**Section 3: Entity’s CRS Classification\*** (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification):  
 For more information please see the CRS Standard and associated commentary: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

**3.1 Financial Institutions under CRS:**

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<b>Financial Institution under CRS</b> (other than (II) below)	
II.	<b>An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution</b>  (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual Self-certification forms for each of your Controlling Persons **)	

**3.2 Non Financial Institutions under CRS:**

If the Entity is a *Non-Financial Institution*, please tick one of the below categories

I.	<b>Active Non-Financial Entity</b> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II.	<b>Active Non-Financial Entity</b> – a Government Entity or Central Bank	
III.	<b>Active Non-Financial Entity</b> – an International Organisation	
IV.	<b>Active Non-Financial Entity</b> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V.	<b>Passive Non-Financial Entity</b> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	

**\*\*Controlling Person’s:**

**NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.**  
**If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.**

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

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<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

**Section 4: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

**Authorised Signature(s)\*:**

\_\_\_\_\_

**Print Name(s)\*:**

\_\_\_\_\_

**Capacity in which declaration is made\*:**

\_\_\_\_\_

**Date: (dd/mm/yyyy):\*** \_\_\_\_\_

**8b. Common Reporting Standard ("CRS") Self-Certification - Individual**

**Instructions for completion**

Sections 1, 2, and 4 must be completed by all investors.

Section 3 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

We are obliged under Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor’s tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor’s interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor’s tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on CRS please refer to Irish Revenue website at

<http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange>.

If any of the information below about the investor’s tax residence or CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

**(Mandatory fields are marked with an \*)**

**Section 1: Investor Identification**

Investor Name\*: \_\_\_\_\_

Current Residential Address\*:

Number: \_\_\_\_\_

Street: \_\_\_\_\_

City, Town, State, Province or County:  
\_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_

Country: \_\_\_\_\_

Mailing address (if different from above):

Number: \_\_\_\_\_

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Street: \_\_\_\_\_

City, Town, State, Province or County:  
 \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_

Country: \_\_\_\_\_

Place Of Birth\*  
 Town or City of Birth\*: \_\_\_\_\_

Country of Birth\*: \_\_\_\_\_

Date of Birth\*: \_\_\_\_\_

**Section 2: CRS Declaration of Tax Residency (please note you may choose more than one country)\***

Please indicate your/ the investor’s country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers (“TIN”). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

**Section 3 – Type of Controlling Person**

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		



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Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

**Section 4: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature\*: \_\_\_\_\_

Print Name\*: \_\_\_\_\_

Date: (dd/mm/yyyy)\*: \_\_\_\_\_

Capacity\*: \_\_\_\_\_

**9. Return of Values (Investment Undertakings) Regulations 2013 (for Irish resident investors only)**

Pursuant to the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013) (the "Return Regulations"), the Sub-Fund is required to collect certain information from certain Irish residents. All applicants, whether individuals, bodies corporate or unincorporated bodies of persons, which are Irish resident or ordinarily resident should review the list of Exempt Irish Investors in the Prospectus. If the applicant is Irish resident or ordinarily resident and is not an Exempt Irish Investor, please provide the relevant Tax Identification Number (TIN) / PPS Number together with any one original or copy of the following additional documents: P60, P45, P21 Balancing Statement, Payslip (where employer is identified by name or tax number), Drug Payment Scheme Card, Tax Assessment, Tax Return Form, PAYE Notice of Tax Credits, Child Benefit Award Letter / Book, Pension book, Social Services Card, or Public Services Card. In addition, printed documentation issued by the Irish Revenue Commissioners or the Department of Social Protection which includes the applicant's name, address and tax reference number is also acceptable. In the case of joint account holders, the additional documentation is required for each applicant. By signing this Account Opening Form the applicant acknowledges that information relating to its investment in Shares in the Sub-Fund, the value of such investment and its name(s), address(es) and tax reference / PPS number may be subject to disclosure to the Revenue Commissioners in accordance with the Return Regulations.

# SKYLINE UMBRELLA FUND ICAV

## Account Opening Form ARGA Global Equity Fund

### 10. Applicant Signatures

*Please sign*

Checklist prior to submission of the Account Opening Form to the Administrator (please tick ( v ) when complete where applicable)	
Section 1: Are all registration details completed?	
Section 1: Are all registration details completed in relation to an authorised contactee/agent?	
Section 2: Have all details been completed to include the amount of shares in figures and words?	
Section 2: Are all bank account details provided?	
Section 2: Have you chosen the desired dividend option and provided supporting evidence?	
Section 3: Have you completed the Declaration of residency form completed and attached all relevant documentation?	
Section 4: Have you completed the Identity verification section fully and attached the required identity verification documentation?	
Section 6: Have you read and understood the Representations & Warranties section? Have you signed it?	
Section 7a: Have you completed the FATCA form if completing on behalf of an entity?	
Section 7b: Have you completed the FATCA form if you are an individual investor?	
Section 8a: Have you completed the CRS form if completing on behalf of an entity?	
Section 8b: Have you completed the CRS form if you are an individual investor?	
Section 9: Have you completed the Return of values (investment undertakings) section fully? (Irish resident investors only)	
Section 10: Have you fully signed and dated this Account Opening Form?	
If applicable, have you attached supporting evidence for anti-money laundering (as outlined in appendices)?	

I/we agree to be bound by the representations and statements set out in this Account Opening Form. If applicable, attach an authorised signatory list of those entitled to instruct on this investment.

\_\_\_\_\_

**Signature**

\_\_\_\_\_

**Date**

\_\_\_\_\_

**Joint Applicant (if applicable) Signature**

\_\_\_\_\_

**Date**

**Appendix I**

**This Subscription Form is for investors wishing to subscribe for shares in the Sub-Fund(s) indicated below.**  
 The completed Subscription Form should be completed in compliance with the Prospectus and delivered to the Administrator, at the address below, by post, facsimile or as provided for in the Prospectus.

**ADDRESS FOR DOCUMENTATION**

**Attention: Transfer Agency**  
**c/o Northern Trust International Fund Administration Services (Ireland) Limited**  
**2<sup>nd</sup> Floor, Block A**  
**City East Plaza**  
**Towlerton, Ballysimon**  
**Limerick**  
**V94 X2N9**  
**Ireland**  
**T +353 1 4345124**  
**F +353 1 4345285**  
**E [davy\\_ta\\_queries@ntrs.com](mailto:davy_ta_queries@ntrs.com)**

I/we hereby apply to purchase Shares in the amount of which sum will be paid by telegraphic transfer, and agree to be bound by the Instrument of Incorporation of the ICAV and its material contracts as set out in the Prospectus:

<b>Share Class Name</b>		<b>Monetary Amount</b>	<b>Monetary Amount in words</b>
Class A USD\$ Shares	USD	<input type="text"/>	<input type="text"/>
Class A1 USD\$ Shares	USD	<input type="text"/>	<input type="text"/>
Class B GBP£ Shares	GBP	<input type="text"/>	<input type="text"/>
Class B1 GBP£ Shares	GBP	<input type="text"/>	<input type="text"/>
Class C JPY¥ Shares	JPY	<input type="text"/>	<input type="text"/>
Class C1 JPY¥ Shares	JPY	<input type="text"/>	<input type="text"/>
Class D EUR€ Shares	EUR	<input type="text"/>	<input type="text"/>
Class D1 EUR€ Shares	EUR	<input type="text"/>	<input type="text"/>
Class E SGD\$ Shares	SGD	<input type="text"/>	<input type="text"/>
Class E1 SGD\$ Shares	USD	<input type="text"/>	<input type="text"/>
Class F HKD\$ Shares	HKD	<input type="text"/>	<input type="text"/>
Class F1 HKD\$ Shares	HKD	<input type="text"/>	<input type="text"/>
Class G AUS\$ Shares	AUS\$	<input type="text"/>	<input type="text"/>
Class G1 AUS\$ Shares	AUS\$	<input type="text"/>	<input type="text"/>
Class H NZ\$ Shares	NZ\$	<input type="text"/>	<input type="text"/>
Class H1 NZ\$ Shares	NZ\$	<input type="text"/>	<input type="text"/>
Class I GBP£ Shares	GBP	<input type="text"/>	<input type="text"/>

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Class PA USD\$ Shares	USD		
Class PA GBP£ Shares	GBP		
Class PA EUR€ Shares	EUR		
Class PA CHF Shares	CHF		
Class PD USD\$ Shares	USD		
Class PD GBP£ Shares	GBP		
Class PD EUR€ Shares	EUR		
Class PD CHF Shares	CHF		

The minimum initial subscription and minimum subsequent subscription amounts are set out below:

Share Class Name		Minimum initial investment amount
Class A USD\$ Shares	USD	US \$1,000,000
Class A1 USD\$ Shares	USD	US \$1,000,000
Class B GBP£ Shares	GBP	GBP£750,000
Class B1 GBP£ Shares	GBP	GBP£750,000
Class C JPY¥ Shares	JPY	JPY¥100,000,000
Class C1 JPY¥ Shares	JPY	JPY¥100,000,000
Class D EUR€ Shares	EUR	EUR€1,000,000
Class D1 EUR€ Shares	EUR	EUR€1,000,000
Class E SGD\$ Shares	SGD	SGD\$1,000,000
Class E1 SGD\$ Shares	USD	SGD\$1,000,000
Class F HKD\$ Shares	HKD	HKD\$8,000,000
Class F1 HKD\$ Shares	HKD	HKD\$8,000,000
Class G AUS\$ Shares	AUS\$	AUS\$1,000,000
Class G1 AUS\$ Shares	AUS\$	AUS\$1,000,000
Class H NZ\$ Shares	NZ\$	NZ\$1,000,000
Class H1 NZ\$ Shares	NZ\$	NZ\$1,000,000
Class I GBP£ Shares	GBP	GBP£750,000
Class PA USD\$ Shares	USD	US \$1,000,000
Class PA GBP£ Shares	GBP	GBP£750,000
Class PA EUR€ Shares	EUR	EUR €1,000,000
Class PA CHF Shares	CHF	CHF 1,000,000
Class PD USD\$ Shares	USD	US \$1,000,000
Class PD GBP£ Shares	GBP	GBP£750,000
Class PD EUR€ Shares	EUR	EUR €1,000,000

# SKYLINE UMBRELLA FUND ICAV

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Class PD CHF Shares                      CHF              CHF 1,000,000

Cleared subscription monies must be received no later than 3.00 p.m. (Irish time) three business days succeeding the relevant Dealing Day.

If payment in full in cleared funds is not received within the required number of Business Days any provisional allotment of shares may be cancelled. The applicant may be charged interest and other costs incurred due to the cancellation.

**Please note any subscription proceeds paid in currencies other than the Base Currency of the relevant Sub-Fund or the designated currency of the relevant Share Class will be converted into that currency at prevailing exchange rates. This foreign exchange transaction will be arranged by the Administrator at the cost and risk of the relevant investor.**

*Note: Currency cut-off times – deadline for receipt of subscription monies to obtain same day value<sup>1</sup>*

GBP    16:00 GMT

EURO  14:30 GMT

USD    16:00 GMT

CHF    10.30 GMT

### Bank account details for USD Subscription Payments

Pay subscription monies in USD to the following bank account:

<b>U.S. Dollar</b>
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>SWIFT:</b> CNORUS33
<b>Fedwire ABA:</b> 026001122
<b>CHIPS ABA:</b> 0112
<b>Beneficiary:</b> Skyline Umbrella Fund ICAV - 179481-20010
<b>Reference:</b> * ARGA Global Equity Fund

### Bank account details for EUR Subscription Payments

Pay subscription monies in EUR to the following bank account:

<b>Euro – EUR</b>
<b>Intermediary Bank:</b> Barclays Bank PLC, Frankfurt
<b>SWIFT:</b> BARCEFF
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>SWIFT:</b> CNORUS33
<b>A/C Number:</b> 0210472800
<b>Beneficiary:</b> Skyline Umbrella Fund ICAV - 662452-20019
<b>Reference:</b> *Contract number

### Bank account details for GBP Subscription Payments

Pay subscription monies in GBP to the following bank account:

<sup>1</sup> Investors wishing to subscribe into the AUS\$, JPY¥, NZ\$, SGD\$ or HKD\$ share classes should please notify the Administrator or the Investment Manager (ARGA Investment Management, LP).

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<b>British Pound - GBP</b>
<b>Intermediary Bank:</b> Barclays Bank PLC, London
<b>SWIFT:</b> BARCGB22
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>GBP Sort Code:</b> 203253
<b>Account Number:</b> 53529495
<b>SWIFT:</b> CNORUS33
<b>Beneficiary:</b> Skyline Umbrella Fund ICAV 664482-20019
<b>Reference:</b> *Contract number

**Bank account details for CHF Subscription Payments**

Pay subscription monies in CHF to the following bank account:

<b>Swiss Franc – CHF</b>
<b>Intermediary Bank:</b> Credit Suisse AG, Zurich
<b>SWIFT:</b> CRESCHZZ80A
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>IBAN:</b> CH1004835098499033010
<b>SWIFT:</b> CNORUS33
<b>Beneficiary:</b> Skyline Umbrella Fund ICAV - 662510-20019
<b>Reference:</b> *Contract number

**REGISTERED INFORMATION**

Registered Account Name

Account Number<sup>2</sup>

Your Company Contact

Name:	Phone No:
	Fax No:

<sup>2</sup> Please note you would have received this by email from the Administrator

**Appendix II - REDEMPTION FORM**

**This Redemption Form is for client(s) redeeming Shares from the Sub-Fund(s) below.**

This completed Redemption Form should be posted or sent by facsimile to the Administrator at the address below no later than the Dealing Deadline. Redemptions will not be processed on non-cleared/verified accounts.

**ADDRESS FOR DOCUMENTATION**

<b>Attention: Transfer Agency</b>
<b>C/o: Northern Trust International Fund Administration Services (Ireland) Limited</b> <b>2nd Floor, Block A</b> <b>City East Plaza</b> <b>Towlerton, Ballysimon</b> <b>Limerick</b> <b>V94 X2N9</b> <b>Ireland</b>
<b>T +353 1 4345124</b> <b>F +353 1 4345285</b> <b>E davy_ta_queries@ntrs.com</b>

Please see the Prospectus for full details of how to apply for a redemption of shares.

Any redemption request which would reduce the value of your aggregate shareholding below the Minimum Subscription amounts specified in the Prospectus may be refused or may result in the redemption of all of your shares.

It should be noted that any outstanding unpaid interest that you owe, arising due to late settlement of subscription monies, may be deducted from the redemption proceeds.

Redemption monies for the Classes of Shares in the ICAV will be remitted in the designated currency of the Class of Shares (or such other currency as the Directors may from time to time determine).

I/We, having received and read a copy of the Prospectus and Instrument of Incorporation of the ICAV wish to redeem Shares in the ICAV as indicated in the table below:

<b>Share Class Name</b>		<b>Monetary Amount</b>	<b>Monetary Amount in words</b>
Class A USD\$ Shares	USD	<input type="text"/>	<input type="text"/>
Class A1 USD\$ Shares	USD	<input type="text"/>	<input type="text"/>
Class B GBP£ Shares	GBP	<input type="text"/>	<input type="text"/>
Class B1 GBP£ Shares	GBP	<input type="text"/>	<input type="text"/>
Class C JPY¥ Shares	JPY	<input type="text"/>	<input type="text"/>
Class C1 JPY¥ Shares	JPY	<input type="text"/>	<input type="text"/>
Class D EUR€ Shares	EUR	<input type="text"/>	<input type="text"/>
Class D1 EUR€ Shares	EUR	<input type="text"/>	<input type="text"/>
Class E SGD\$ Shares	SGD	<input type="text"/>	<input type="text"/>
Class E1 SGD\$ Shares	SGD	<input type="text"/>	<input type="text"/>
Class F HKD\$ Shares	HKD	<input type="text"/>	<input type="text"/>
Class F1 HKD\$ Shares	HKD	<input type="text"/>	<input type="text"/>



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Class G AUS\$ Shares	AUS\$		
Class G1 AUS\$ Shares	AUS\$		
Class H NZ\$ Shares	NZ\$		
Class H1 NZ\$ Shares	NZ\$		
Class H1 NZ\$ Shares	NZ\$		
Class I GBP£ Shares	GBP		
Class PA USD\$ Shares	USD		
Class PA GBP£ Shares	GBP		
Class PA EUR€ Shares	EUR		
Class PA CHF Shares	CHF		
Class PD USD\$ Shares	USD		
Class PD GBP£ Shares	GBP		
Class PD EUR€ Shares	EUR		
Class PD CHF Shares	CHF		

The minimum initial subscription and minimum subsequent subscription amounts are set out below:

Share Class Name		Minimum initial investment amount
Class A USD\$ Shares	USD	US \$1,000,000
Class A1 USD\$ Shares	USD	US \$1,000,000
Class B GBP£ Shares	GBP	GBP£750,000
Class B1 GBP£ Shares	GBP	GBP£750,000
Class C JPY¥ Shares	JPY	JPY¥100,000,000
Class C1 JPY¥ Shares	JPY	JPY¥100,000,000
Class D EUR€ Shares	EUR	EUR€1,000,000
Class D1 EUR€ Shares	EUR	EUR€1,000,000
Class E SGD\$ Shares	SGD	SGD\$1,000,000
Class E1 SGD\$ Shares	SGD	SGD\$1,000,000
Class F HKD\$ Shares	HKD	HKD\$8,000,000
Class F1 HKD\$ Shares	HKD	HKD\$8,000,000
Class G AUS\$ Shares	AUS\$	AUS\$1,000,000
Class G1 AUS\$ Shares	AUS\$	AUS\$1,000,000
Class H NZ\$ Shares	NZ\$	NZ\$1,000,000
Class H1 NZ\$ Shares	NZ\$	NZ\$1,000,000
Class I GBP£ Shares	GBP	GBP£750,000
Class PA USD\$ Shares	USD	US \$1,000,000
Class PA GBP£ Shares	GBP	GBP£750,000

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Class PA EUR€ Shares	EUR	EUR €1,000,000
Class PA CHF Shares	CHF	CHF 1,000,000
Class PD USD\$ Shares	USD	US \$1,000,000
Class PD GBP£ Shares	GBP	GBP£750,000
Class PD EUR€ Shares	EUR	EUR €1,000,000
Class PD CHF Shares	CHF	CHF 1,000,000

Payments will be made by default to the details provided at the time of registration. If these details have changed please complete the following and contact the Administrator.

**Bank Details (for the purposes of receiving redemption monies):**

<b>Bank Name:</b>	
<b>Branch Name:</b>	
<b>IBAN:</b>	
<b>BIC:</b>	
<b>Account Name:</b>	
<b>Account Number:</b>	
<b>Sort Code:</b>	

I/we acknowledge and agree that if all of the relevant anti-money laundering ("AML") information and Tax information requested under Account Opening Form has not been supplied to the Administrator, that my/our shareholding will be redeemed but that the related monies will be held by the Administrator until such documentation requested has been supplied.

<b>Signature:</b>	<b>Signature:</b>
<b>Print name:</b>	<b>Print name:</b>

Position (only if signing on behalf of the beneficial owner)

**Date:**    /    /

**Date:**    /    /

**REGISTERED INFORMATION**

Registered Account Name

Account Number<sup>3</sup>

<sup>3</sup> Please note you would have received this by email from the Administrator

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Your Company Contact

Name:	Phone No:
	Fax No:

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**Appendix III – DATA PROTECTION DISCLOSURE STATEMENT**

**Introduction**

This document outlines our data protection obligations and your data protection rights as they relate to your investment in the Fund under the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679, the "**Data Protection Legislation**").

The Fund will be characterised as a "data controller" under the Data Protection Legislation. The Management Company, the Investment Manager and the Investment Advisor and its affiliates and delegates may act as "data processors" under the Data Protection Legislation.

In this document, "we", "us" and "our" refers to the Fund, the Management Company, the Investment Manager and the Investment Advisor and its affiliates and delegates.

**Your personal data**

You understand that by virtue of making an investment in the Fund and your associated interactions with us (including this application, and including the recording of electronic communications or phone calls where applicable) or by virtue of you otherwise providing us with personal information on individuals connected with you as an investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), you will provide us with certain personal information which constitutes personal data within the meaning of the Data Protection Legislation. This includes, but is not restricted to, data such as your name, residential address, email address, place of birth, date of birth, bank account details and details relating to your investment activity.

**Why we may use your personal data**

You understand that we may collect, store and use this data for lawful purposes including, in particular: (i) where this is necessary for the performance of the contract to purchase shares in the Fund; (ii) where this is necessary for compliance with a legal obligation to which we are subject (such as the anti-money laundering obligation to verify the identity of our customers (and, if applicable their beneficial owners) and retain copies of materials in respect thereof for five years after the relationship terminates); and/or (iii) where this is necessary for the purposes of the legitimate interests of us or a third party and such legitimate interests are not overridden by your interests, fundamental rights or freedoms.

It is noted that the Data Protection Legislation states that the use of personal data for direct marketing purposes (that is, providing you with information on products and services that may be of interest) may be regarded as being for the purposes of a legitimate interest. Analysing personal data for quality control, business and statistical analysis, tracking fees and costs, training and related purposes are also legitimate interests for using your personal data.

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Accordingly, you understand that we may use your personal data for such purposes as described above, provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you and also noting your right to object to such use, as discussed below.

Given the specific purposes for which we envisage using your personal data, under the provisions of the Data Protection Legislation, we do not anticipate being required to obtain your consent to do so. Should we wish to use your personal data for other specific purposes that require your consent, we will contact you to request this.

**Why we may transmit your personal data**

You understand that in certain circumstances we and/or our authorised delegates may be legally obliged to share your data and other financial information with respect to your interest in the Fund with the Irish Revenue Commissioners and they, in turn, may exchange this information with foreign tax authorities including tax authorities located outside the EEA.

We anticipate disclosing your personal data to the Administrator, the Management Company, the Investment Manager and the Investment Advisor and their respective affiliates and this may include certain entities located outside the EEA.

**The data protection measures we take**

Any transmission of personal data by us or our duly authorised delegates outside the EEA shall be in accordance with the conditions in the Data Protection Legislation.

We and our duly authorised delegates shall apply appropriate information security measures designed to protect data in our/our delegates' possession from unauthorised access by third parties or any form of computer corruption.

We shall notify you of any personal data breach affecting you that is likely to result in a high risk to your rights and freedoms.

**Your data protection rights**

You understand that you have certain rights regarding our use of this data such as:

- the right to access your data (in an easily readable form);
- the right to examine and correct your data;
- the right to restrict the use of your data;

- the right to withdraw any consent given to the processing of your data (where applicable);
- the right to receive information regarding any entities we disclose your data to;
- the right to lodge a complaint with the Office of the Data Protection Commissioner (our lead supervisory authority).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the right for your data to be erased (the "right to be forgotten") that applies in some contexts under the Data Protection Legislation is not likely to be applicable to most, if not all, of the personal data you provide to us, given the specific nature of the purposes for which we use the data, as described above.

#### **Our retention of your personal data**

We or our duly authorised delegates may retain your personal data for a period of up to seven years following your disinvestment from the Fund.

#### **Getting in touch**

As we do not process personal data on a large scale, we are not required to designate a data protection officer. However, should you have any queries or wish to discuss your data protection rights with us, please contact [ManCo@davygfm.com](mailto:ManCo@davygfm.com).